

COPY FOR CONTINUING APPLICATION

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PTO/SB/01 (12-97)

Approved for use through 9/30/00. OMB 0651-0032

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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| | | |
|---|-------------------------------|-------------------|
| DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63) <input type="checkbox"/> Declaration Submitted with Initial Filing OR <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required) | Attorney Docket Number | OMRF 182 |
| | First Named Inventor | Jordan J. N. Tang |
| | COMPLETE IF KNOWN | |
| | Application Number | 09 / 603,713 |
| | Filing Date | June 27, 2000 |
| | Group Art Unit | |
| | Examiner Name | |

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

INHIBITORS OF MEMAPSIN 2 AND USE THEREOF

the specification of which (Title of the Invention)

☐ is attached hereto
OR
☒ was filed on (MM/DD/YYYY) 06/27/2000 as United States Application Number or PCT International Application Number 09/603,713 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application Number(s) | Country | Foreign Filing Date (MM/DD/YYYY) | Priority Not Claimed | Certified Copy Attached? | |
|-------------------------------------|---------|----------------------------------|--------------------------|--------------------------|--------------------------|
| | | | | YES | NO |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

| Application Number(s) | Filing Date (MM/DD/YYYY) |
|-----------------------|--------------------------|
| 60/141,363 | 06/28/1999 |
| 60/168,060 | 11/30/1999 |
| 60/177,836 | 01/25/2000 |
| 60/178,368 | 01/27/2000 |

☒ Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

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OMRF 182

20487/266

Filed: June 27, 2000

INHIBITORS OF MEMAPIN 2 AND USE THEREOF

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PTO/SB/01 (12-97)

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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

| U.S. Parent Application or PCT Parent Number | Parent Filing Date (MM/DD/YYYY) | Parent Patent Number (if applicable) |
|--|---------------------------------|--------------------------------------|
| | | |

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Customer Number

OR

☒ Registered practitioner(s) name/registration number listed below

Place Customer
Number Bar Code
Label here

| Name | Registration Number | Name | Registration Number |
|------------------|---------------------|------------------|---------------------|
| Patrea L. Pabst | 31,284 | Felipe J. Farley | 38,445 |
| Robert A. Hodges | 41,074 | Zhaoyang Li | P-46,872 |
| Kevin W. King | 42,737 | | |

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☐ Customer Number or Bar Code Label

OR ☒ Correspondence address below

| | | | | | |
|---------|--|-----------|---------------|-----|---------------|
| Name | Patrea L. Pabst | | | | |
| Address | Arnall Golden & Gregory, LLP | | | | |
| Address | 2800 One Atlantic Center, 1201 West Peachtree Street | | | | |
| City | Atlanta | State | GA | ZIP | 30309-3450 |
| Country | United States | Telephone | (404)873-8794 | Fax | (404)873-8795 |

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:

☐ A petition has been filed for this unsigned inventor

| | | | |
|--|--------------------|------------------------|-------------|
| Given Name (first and middle [if any]) | | Family Name or Surname | |
| Jordan J. N. | | Tang | |
| Inventor's Signature | | | Date 7/2/00 |
| Residence: City | Edmond | State | OK |
| | | Country | US |
| Post Office Address | 1204 Leawood Drive | | |
| Post Office Address | | | |
| City | Edmond | State | OK |
| | | ZIP | 73034 |
| | | Country | US |

☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

Please type a plus sign (+) inside this box → ☐

PTO/SB/02A (3-97)

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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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DECLARATION**ADDITIONAL INVENTOR(S)**
Supplemental Sheet
Page 1 of 1

| | | | | | | | |
|---|--------------------|-------|----|---|-------|-------------|----|
| Name of Additional Joint Inventor, if any: | | | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | | | |
| Given Name (first and middle [if any]) | | | | Family Name or Surname | | | |
| Arun K. | | | | Ghosh | | | |
| Inventor's Signature | | | | | | Date | |
| Residence: City | River Forest | State | IL | Country | US | Citizenship | IN |
| Post Office Address | 1407 Clinton Place | | | | | | |
| Post Office Address | | | | | | | |
| City | River Forest | State | IL | ZIP | 60305 | Country | US |
| Name of Additional Joint Inventor, if any: | | | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | | | |
| Given Name (first and middle [if any]) | | | | Family Name or Surname | | | |
| | | | | | | | |
| Inventor's Signature | | | | | | Date | |
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| Post Office Address | | | | | | | |
| Post Office Address | | | | | | | |
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| | | | | | | | |
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| Post Office Address | | | | | | | |
| Post Office Address | | | | | | | |
| City | | State | | ZIP | | Country | |

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| | First Named Inventor | | Jordan J. N. Tang |
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| | Application Number | 09 / 603,713 | |
| | Filing Date | June 27, 2000 | |
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☒ was filed on (MM/DD/YYYY) 06/27/2000 as United States Application Number or PCT International

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| | | | | YES | NO |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
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OMRF 182

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☐ Customer Number

OR

☒ Registered practitioner(s) name/registration number listed below

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Number Bar Code
Label here

| Name | Registration Number | Name | Registration Number |
|------------------|---------------------|------------------|---------------------|
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| Robert A. Hodges | 41,074 | Zhaoyang Li | P-46,872 |
| Kevin W. King | 42,737 | | |

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Direct all correspondence to: ☐ Customer Number or Bar Code Label

OR ☒ Correspondence address below

| | | | | | |
|---------|--|-----------|---------------|-----|---------------|
| Name | Patrea L. Pabst | | | | |
| Address | Arnall Golden & Gregory, LLP | | | | |
| Address | 2800 One Atlantic Center, 1201 West Peachtree Street | | | | |
| City | Atlanta | State | GA | ZIP | 30309-3450 |
| Country | United States | Telephone | (404)873-8794 | Fax | (404)873-8795 |

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Name of Sole or First Inventor:

☐ A petition has been filed for this unsigned inventor

| | | | |
|--|--------------------|------------------------|-------|
| Given Name (first and middle (if any)) | | Family Name or Surname | |
| Jordan J. N. | | Tang | |
| Inventor's Signature | | | Date |
| Residence: City | Edmond | State | OK |
| | | Country | US |
| Post Office Address | 1204 Leawood Drive | | |
| Post Office Address | | | |
| City | Edmond | State | OK |
| | | ZIP | 73034 |
| | | Country | US |

☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

Filed: June 27, 2000

INHIBITORS OF MEMAPIN 2 AND USE THEREOF

Please type a plus sign (+) inside this box → +

PTO/SB/02A (3-97)

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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.**DECLARATION****ADDITIONAL INVENTOR(S)**
Supplemental Sheet
Page 1 of 1

| | | | | | | | |
|---|--------------------|-------|----|---|-------|-------------|---------|
| Name of Additional Joint Inventor, if any: | | | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | | | |
| Given Name (first and middle [if any]) | | | | Family Name or Surname | | | |
| Arun K. | | | | Ghosh | | | |
| Inventor's Signature | Arun K. Ghosh | | | | | Date | 7-13-06 |
| Residence: City | River Forest | State | IL | Country | US | Citizenship | IN |
| Post Office Address | 1407 Clinton Place | | | | | | |
| Post Office Address | | | | | | | |
| City | River Forest | State | IL | ZIP | 60305 | Country | US |
| Name of Additional Joint Inventor, if any: | | | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | | | |
| Given Name (first and middle [if any]) | | | | Family Name or Surname | | | |
| | | | | | | | |
| Inventor's Signature | | | | | | Date | |
| Residence: City | | State | | Country | | Citizenship | |
| Post Office Address | | | | | | | |
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| City | | State | | ZIP | | Country | |
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| | | | | | | | |
| Inventor's Signature | | | | | | Date | |
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| Post Office Address | | | | | | | |
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Additional foreign applications:

[illegible]

Application Number

60/210,292

Filing Date (MM/DD/YYYY)

06/08/2000

**U.S. Parent Application
Number**

PCT Parent
Number

Parent Filing Date
(MM/DD/YYYY)

Parent Patent Number
(if applicable)

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COPY FOR CONTINUING
APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Jordan J. N. Tang and Arun K. Ghosh

Serial No.: 09/603,713 Art Unit: 1653

Filed: June 27, 2000 Examiner: A. Mohamed

Title: INHIBITORS OF MEMAPSIN 2 AND USE THEREOF

| CERTIFICATE OF MAILING | |
|---|--------------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 | |
| on _____ Date | _____ Signature |
| _____ Typed or printed name of person signing certificate | |

| CERTIFICATE OF FACSIMILE TRANSMISSION | |
|---|---------------------------|
| I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office: on <u>Mar 7 2002</u> <u>Betsy S. Kirschner</u> | |
| Date | Signature |
| <u>Mar 7 2002</u> | <u>Betsy S. Kirschner</u> |
| Typed or printed name of person signing certificate | |

REVOCATION OF POWERS OF ATTORNEY
AND APPOINTMENT OF NEW ATTORNEYS AND/OR AGENTS

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The Board of Trustees of the University of Illinois, a body corporate and politic of the state of Illinois, is an assignee together with Oklahoma Medical Research Foundation of the entire right, title and interest in the above-identified application. The Board of Trustees of the University of Illinois hereby appoints the attorneys and/or agents associated with:

Customer No. 21005
Hamilton, Brook, Smith & Reynolds, P.C.
530 Virginia Road
P.O. Box 9133
Concord, Massachusetts 01742-9133

to prosecute the above-identified application and any divisions or continuations thereof and to conduct all business in the United States Patent and Trademark Office. All previously granted Powers of Attorney are hereby revoked.

Statement under 37 C.F.R. §3.73(b) is submitted herewith.

All correspondence should be sent to Customer No. 21005, Hamilton, Brook, Smith & Reynolds, P.C., 530 Virginia Road, P.O. Box 9133, Concord, Massachusetts 01742-9133. Please direct all telephone calls to N. Scott Pierce at (978) 341-0036, and all facsimile communications to (978) 341-0136.

Respectfully submitted,
The Board of Trustees of the University of Illinois

By Craig S. Bazzani
Craig S. Bazzani

Title Comptroller

Date 1-15-02

STATEMENT UNDER 37 C.F.R. § 3.73(b)Applicants: Jordan J. N. Tang and Arun K. GhoshApplication No.: 09/603,713 Filed: June 27, 2000For: INHIBITORS OF MEMAPSIN 2 AND USE THEREOF

The Board of Trustees of the University of Illinois, a corporation,
 (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is

- A. ☐ the assignee of the entire right, title and interest in the patent application identified above; or
- B. ☒ an assignee together with Oklahoma Medical Research Foundation of the entire right, title and interest in the patent application identified above. A separate Statement under 37 CFR § 3.73(b) is being submitted.

The right, title and interest of the above-named assignee in the patent application identified above is established by virtue of:

- A. ☒ An assignment from the inventors of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 011083, Frames 0788-0791, or a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s) of the patent application identified above, to the current assignee as shown below:

1. From: _____ To: _____
 The document was recorded in the Patent and Trademark Office at
 Reel _____, Frame _____, or a copy thereof is attached.
2. From: _____ To: _____
 The document was recorded in the Patent and Trademark Office at
 Reel _____, Frame _____, or a copy thereof is attached.
3. From: _____ To: _____
 The document was recorded in the Patent and Trademark Office at
 Reel _____, Frame _____, or a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Date: 1-15-02Name: Craig S. BazzaniTitle: ComptrollerSignature: 

COPY FOR CONTINUING
APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Jordan J. N. Tang and Arun K. Ghosh

Serial No.: 09/603,713 Art Unit: 1653

Filed: June 27, 2000 Examiner: A. Mohamed

Title: INHIBITORS OF MEMAPSIN 2 AND USE THEREOF

| | |
|---|-----------|
| CERTIFICATE OF MAILING | |
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 | |
| on _____ | _____ |
| Date | Signature |
| _____ Typed or printed name of person signing certificate | |

| | |
|--|--------------------|
| CERTIFICATE OF FACSIMILE TRANSMISSION | |
| I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office on _____ | |
| Date | Signature |
| _____ | Betsy S. Kirschner |
| Typed or printed name of person signing certificate | |

REVOCATION OF POWERS OF ATTORNEY
AND APPOINTMENT OF NEW ATTORNEYS AND/OR AGENTS

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Oklahoma Medical Research Foundation, a Corporation duly organized under the laws of the State of Oklahoma, is an assignee together with The Board of Trustees of the University of Illinois of the entire right, title and interest in the above-identified application. Oklahoma Medical Research Foundation hereby appoints the attorneys and/or agents associated with:

Customer No. 21005
Hamilton, Brook, Smith & Reynolds, P.C.
530 Virginia Road
P.O. Box 9133
Concord, Massachusetts 01742-9133

to prosecute the above-identified application and any divisions or continuations thereof and to conduct all business in the United States Patent and Trademark Office. All previously granted Powers of Attorney are hereby revoked.

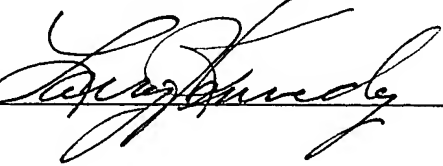
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09/603,713

-2-

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Respectfully submitted,
Oklahoma Medical Research Foundation

By _____

Title Director Technology Transfer

Date January 16, 2002

STATEMENT UNDER 37 C.F.R. § 3.73(b)Applicants: Jordan J. N. Tang and Arun K. GhoshApplication No.: 09/603,713 Filed: June 27, 2000For: INHIBITORS OF MEMAP SIN 2 AND USE THEREOF

Oklahoma Medical Research Foundation, a corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

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OR

- B. ☐ A chain of title from the inventor(s) of the patent application identified above, to the current assignee as shown below:

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Date: January 16, 2002Name: Larry J. KennedyTitle: Director Technology TransferSignature: [Signature]